

# CONSTRAINING PHONOLOGY COMPUTATIONALLY: EXPERIMENTAL EVIDENCE

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# About this study

## Goal

- To explore the universal restrictions for phonology

## How?

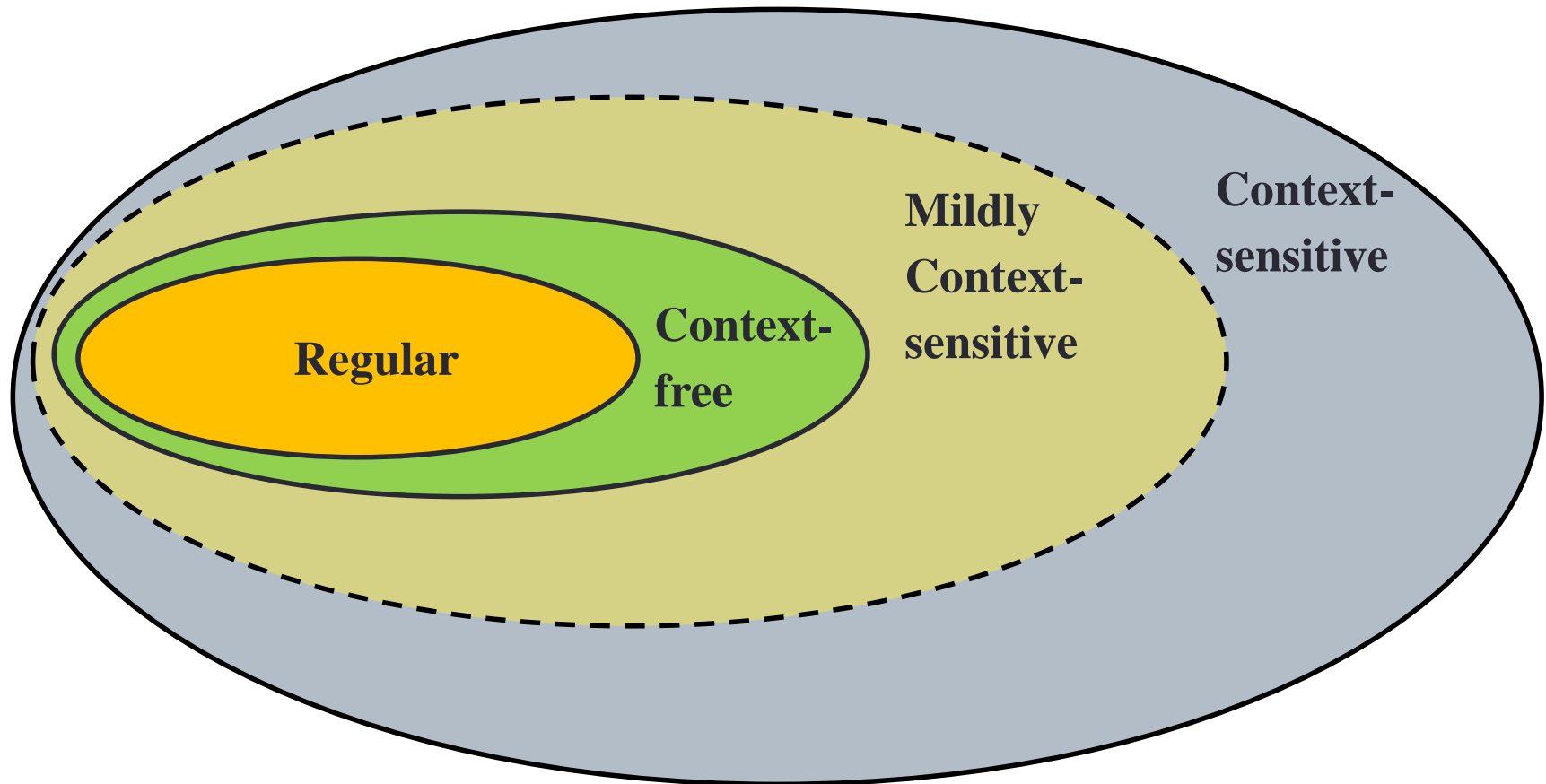
- Test the learnability of a particular phonologically plausible sound pattern which is not found in any natural languages and not within the identified computational regions.
- Artificial language learning experiments.

## Implications

- What constitutes a possible phonological pattern
- Provides insights into human's phonological learning mechanisms.

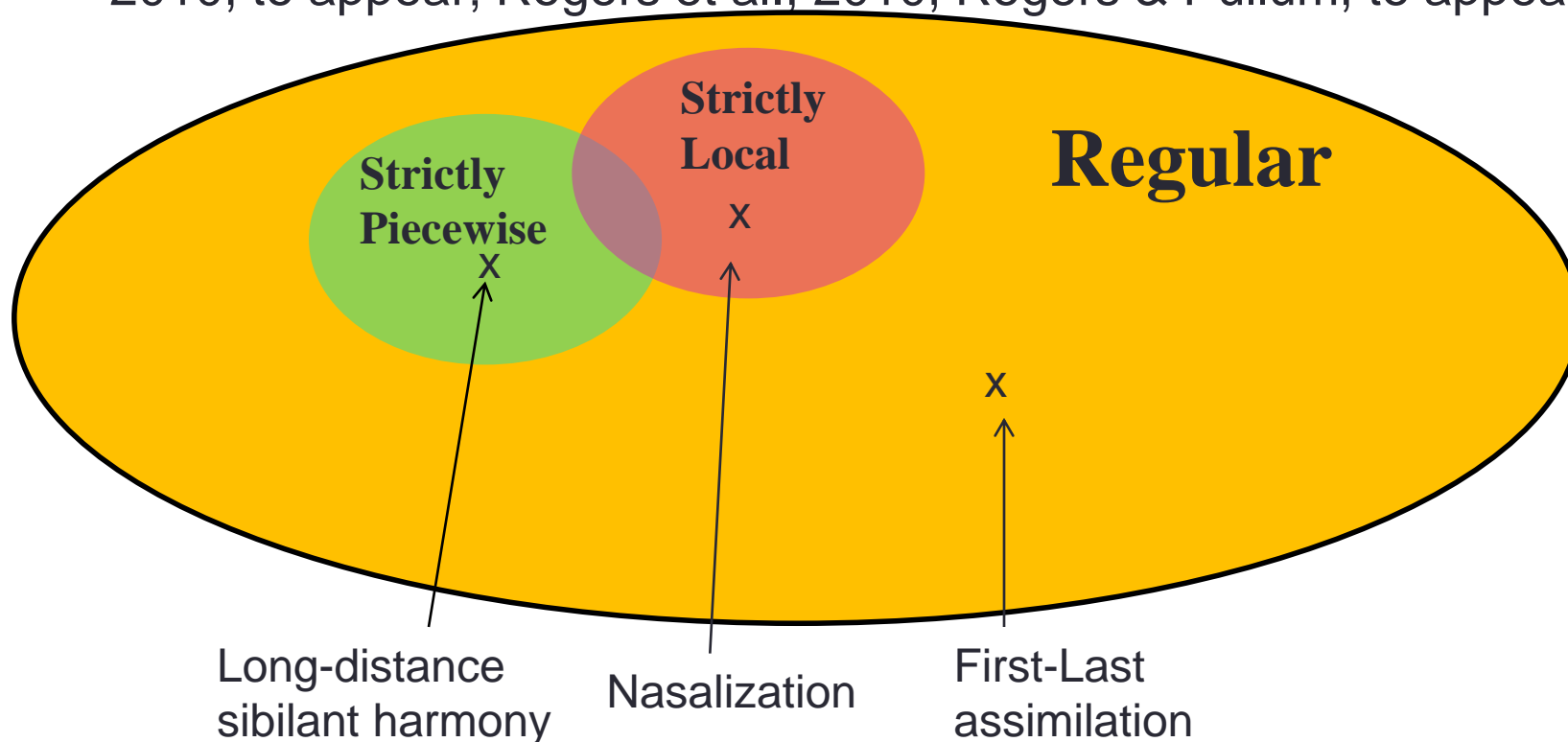
# The Chomsky Hierarchy

- Phonology is regular (Kaplan & Kay, 1994)



# The Regular Region

- Some identified subregular classes:
  - **Strictly-Piecewise (SP)** and **Strictly-Local (SL)** (Heinz, 2009, 2010, to appear; Rogers et al., 2010, Rogers & Pullum, to appear)



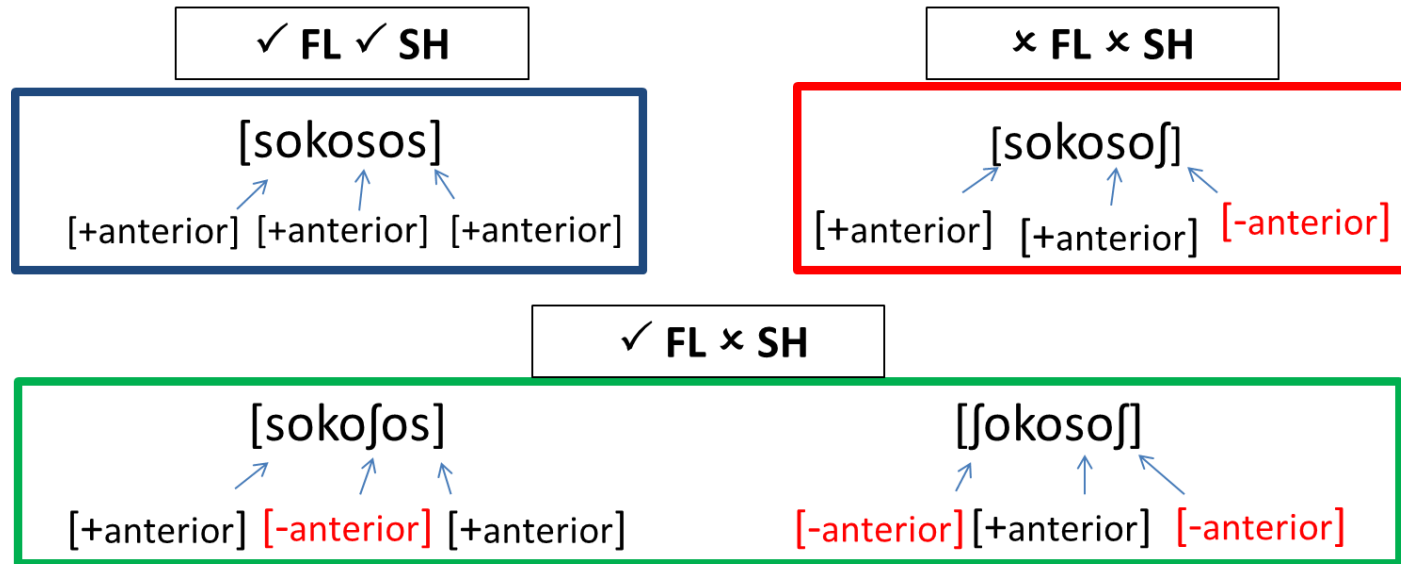
# Sibilant Harmony (SH)

- Attested in Chumash.
- If 2 or more sibilants appear in the same word, they have to be agree in anteriority.
- For example:
  - [sokosos] ✓
  - [sokojos] ✗
  - [jokosos] ✗

# First-Last Assimilation (FL)

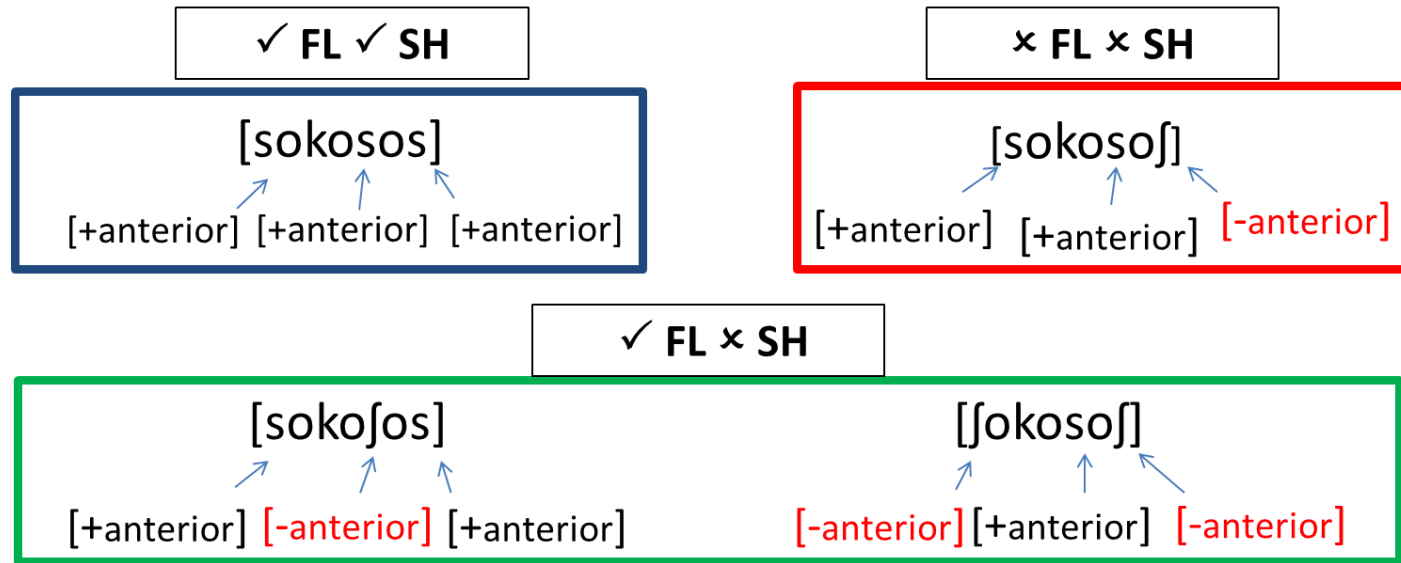
- If there are sibilants in both the initial and final position of a word, they have to agree [anterior].
- For example:
- [sokosos] ✓
- [sokɔʃos] ✓
- [ʃokosos] ✗

# Sibilant Harmony vs. First-Last Assimilation



Note:  $\times$ FL $\checkmark$ SH is not present because anything that obeys SH, also obeys FL.

# Sibilant Harmony(SH) vs. First-Last Assimilation(FL)



**Sibilant Harmony**

	[s]	[ʃ]
[s]	✓	✗
[ʃ]	✗	✓

[ \_...\_ ]

**First-Last Assimilation**

	[s]	[ʃ]
[s]	✓	✗
[ʃ]	✗	✓

[ #...# ]



# FL is not a random choice

- 1. LD sibilant harmony is attested;
- 2. word edges are relevant in phonology;
- 3. initial and final positions of a word are salient positions;
- 4. there is an example in natural language that looks very similar to FL: C'Lela

# C'Lela (Detteriler, 2000; Pulleyblank, 2002; Archangeli & Pulleyblank, 2007)

- Niger-Congo, ~90,000 speakers.
- Vowel height of suffix agrees with base.
- Direct object 1<sup>st</sup> person pronoun: **mi/me**

<i>High base</i>	<i>Nonhigh base</i>
buz <sup>ə</sup> k <sup>ə</sup> <b>mi</b> 'chased me'	ɛpk <sup>ə</sup> <b>me</b> 'bit me'
sipk <sup>ə</sup> <b>mi</b> 'grabbed me'	wegaka <b>me</b> 'indicated me'
fumt <sup>ə</sup> k <sup>ə</sup> <b>mi</b> 'pulled me'	batk <sup>ə</sup> <b>me</b> 'released me'

# C'Lela

- If more than 1 suffix, only word-final suffix alternates.
- Word –medial suffix is transparent.

<i>High base</i>			
i-z <b>is-i</b>	‘CM-long-CM’	i-z <b>is-i-ni</b>	‘CM-long-CM-ADJM’
u-p <b>us-u</b>	‘CM-white-CM’	u-p <b>us-u-ni</b>	‘CM-white-CM-ADJM’
<i>Nonhigh base</i>			
i-r <b>ek-e</b>	‘CM-small-CM’	i-r <b>ek-i-ne</b>	‘CM-small-CM-ADJM’
u-g <sup>j</sup> <b>ɔz-o</b>	‘CM-red-CM’	u-g <sup>j</sup> <b>ɔz-u-ne</b>	‘CM-red’CM-ADJM’

# C'Lela

- A very similar to FL assimilation
- But: possibly within-base harmony
- Base-final suffix harmony.

<i>High base</i>	<i>Nonhigh base</i>
buz <sup>ə</sup> k <sup>ə</sup> <b>mi</b> 'chased me'	ɛpk <sup>ə</sup> <b>me</b> 'bit me'
sipk <sup>ə</sup> <b>mi</b> 'grabbed me'	weg <b>aka</b> <b>me</b> 'indicated me'
fumt <sup>ə</sup> k <sup>ə</sup> <b>mi</b> 'pulled me'	batk <sup>ə</sup> <b>me</b> 'released me'

# C'Lela

- Prefixes are allowed, but are transparent.
- Target: final position → not exactly FL

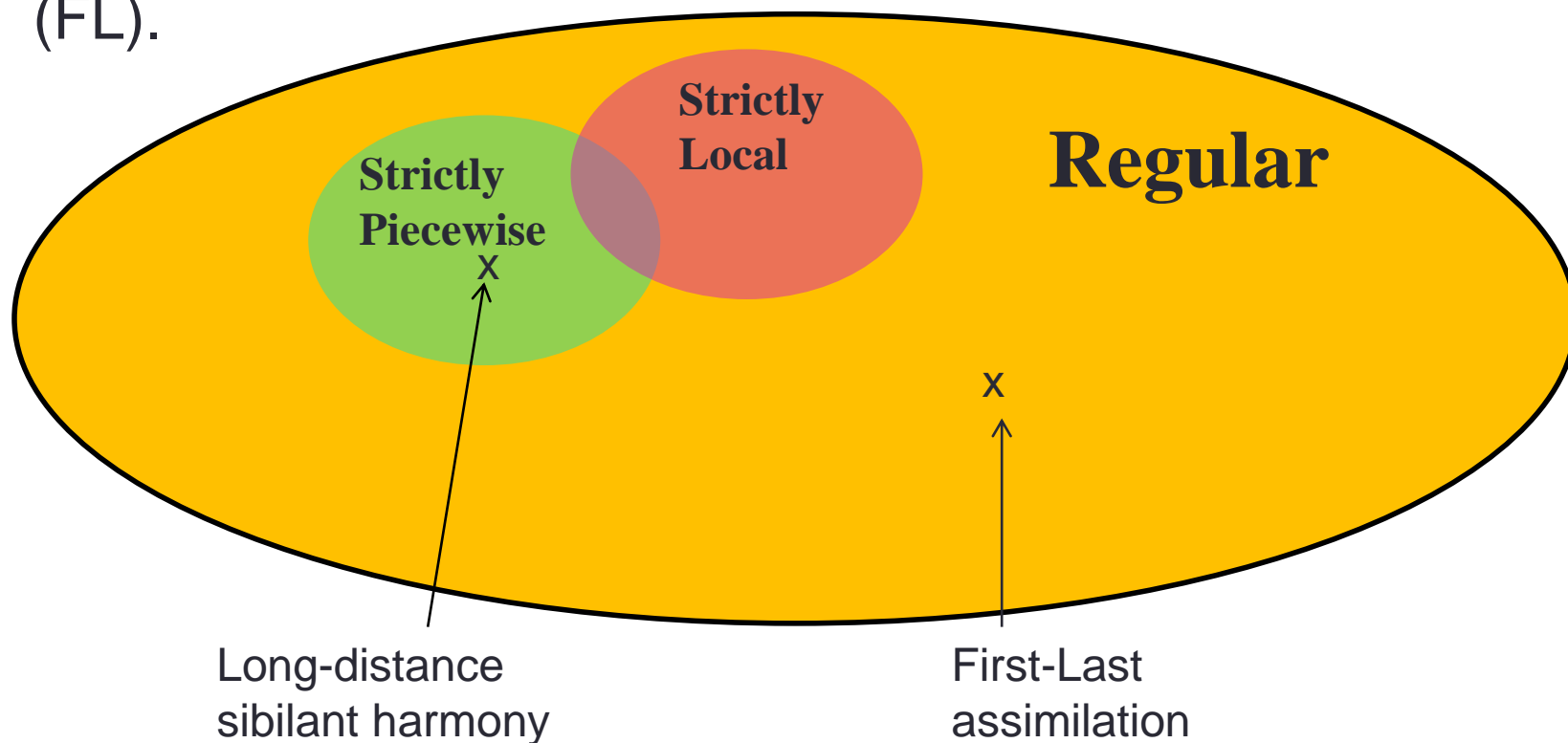
<i>High base</i>			
i-z <b>is</b> - <b>i</b>	‘CM-long-CM’	i-z <b>is</b> -i- <b>ni</b>	‘CM-long-CM-ADJM’
u-p <b>us</b> - <b>u</b>	‘CM-white-CM’	u-p <b>us</b> -u- <b>ni</b>	‘CM-white-CM-ADJM’
<i>Nonhigh base</i>			
i- <b>rek</b> - <b>e</b>	‘CM-small-CM’	i- <b>rek</b> -i- <b>ne</b>	‘CM-small-CM-ADJM’
u-g <sup>j</sup> <b>ɔz</b> - <b>o</b>	‘CM-red-CM’	u-g <sup>j</sup> <b>ɔz</b> -u- <b>ne</b>	‘CM-red’CM-ADJM’

# THE PRESENT STUDY

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# Hypothesis

- Humans can only learn sound patterns that belong to the *Strictly Piecewise* or *Strictly Local* classes (SH). They cannot learn other types of regular sound patterns (FL).



# General Experimental Methodology

- Artificial Language Learning Paradigm
- Training Phase
- Testing Phase



# Methodology

- All Stimuli (both training and test):
- C<sub>1</sub>V.C<sub>2</sub>V.C<sub>3</sub>VC<sub>4</sub> (trisyllabic)
- Always contain 3 sibilants within a word
- C<sub>1</sub> & C<sub>4</sub>: always sibilants
- C<sub>2</sub> & C<sub>3</sub>: either sibilant or [k]

	C1	C2	C3	C4
50%	sibilant	sibilant	[k]	sibilant
50%	sibilant	[k]	sibilant	sibilant

- Vowels: [a, i, u, ε, ɔ]
- Sibilants: [s, ʃ]
- Stop: [k]

# Training

- 40 words x 5 repetitions = 200 words
- Procedure: Listen and repeat each word
- ~ 20 min

# 3 Training Conditions

- 1. SH: [s...s...s], [f...f...f]
- 2. FL: [s...s...s], [f...f...f], [s...f...s], [f...s...f]
- 3. Control: No training

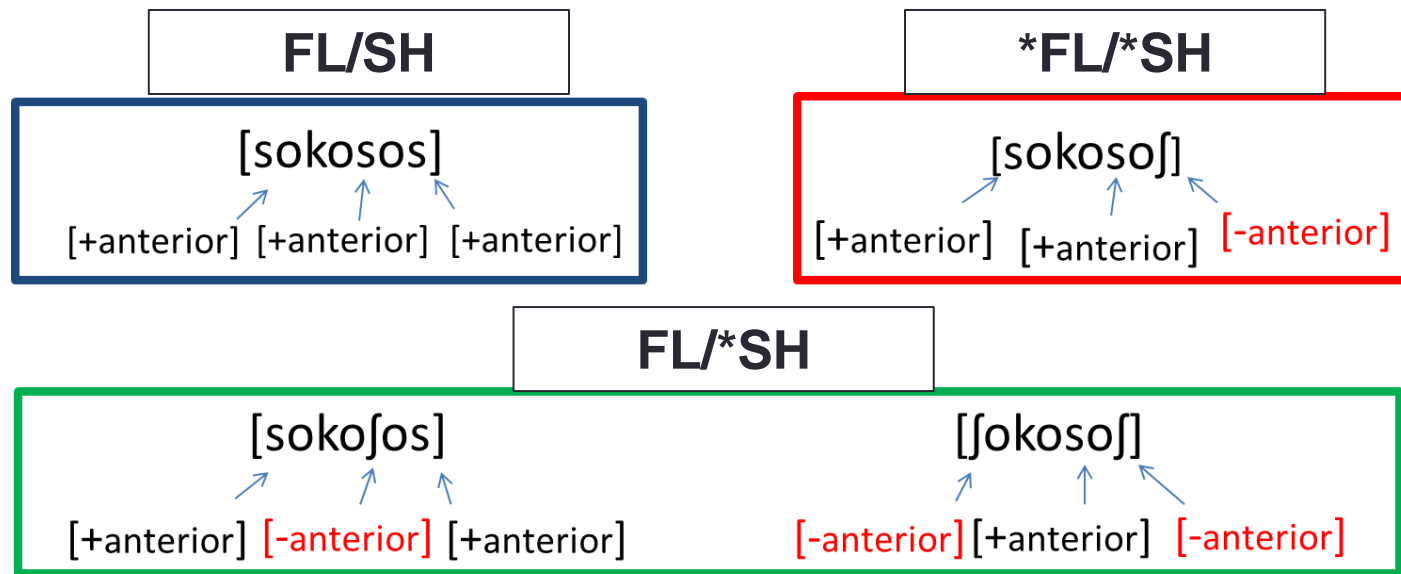
# Testing

- Two alternative forced choice
- Words are presented in pairs (minimally different)
- E.g. [sakisis] vs. [ʃakisis]
  
- The different sibilant occurs in either C1, C2, C3 or C4

# Testing

- Subjects had to choose a word based on whether they thought the 1<sup>st</sup> word or the 2<sup>nd</sup> word within the pair belonged to the language they heard during training.
- 48 pairs in total

# Test stimuli



- Note: the logically possible 4<sup>th</sup> type (\*FL/ SH) does not exist because anything that obeys SH also obeys FL.

# Test stimuli

- These 3 types of stimuli were pitted against each other and generated 3 types of pairings.
- a) FL/\*SH vs. \*FL/\*SH
- b) FL/SH vs. \*FL/\*SH
- c) FL/\*SH vs. FL/SH
- The order of presentation was counter-balanced across types

# Data Analysis

- Dependent variable for each category is different, so they were analyzed separately:
  - a) **FL/\*SH** vs. \*FL/\*SH
    - Rate of choosing **FL/\*SH**
  - b) **FL/SH** vs. \*FL/\*SH
    - Rate of choosing **FL/SH**
  - c) FL/\*SH vs. **FL/SH**
    - Rate of choosing **FL/SH**

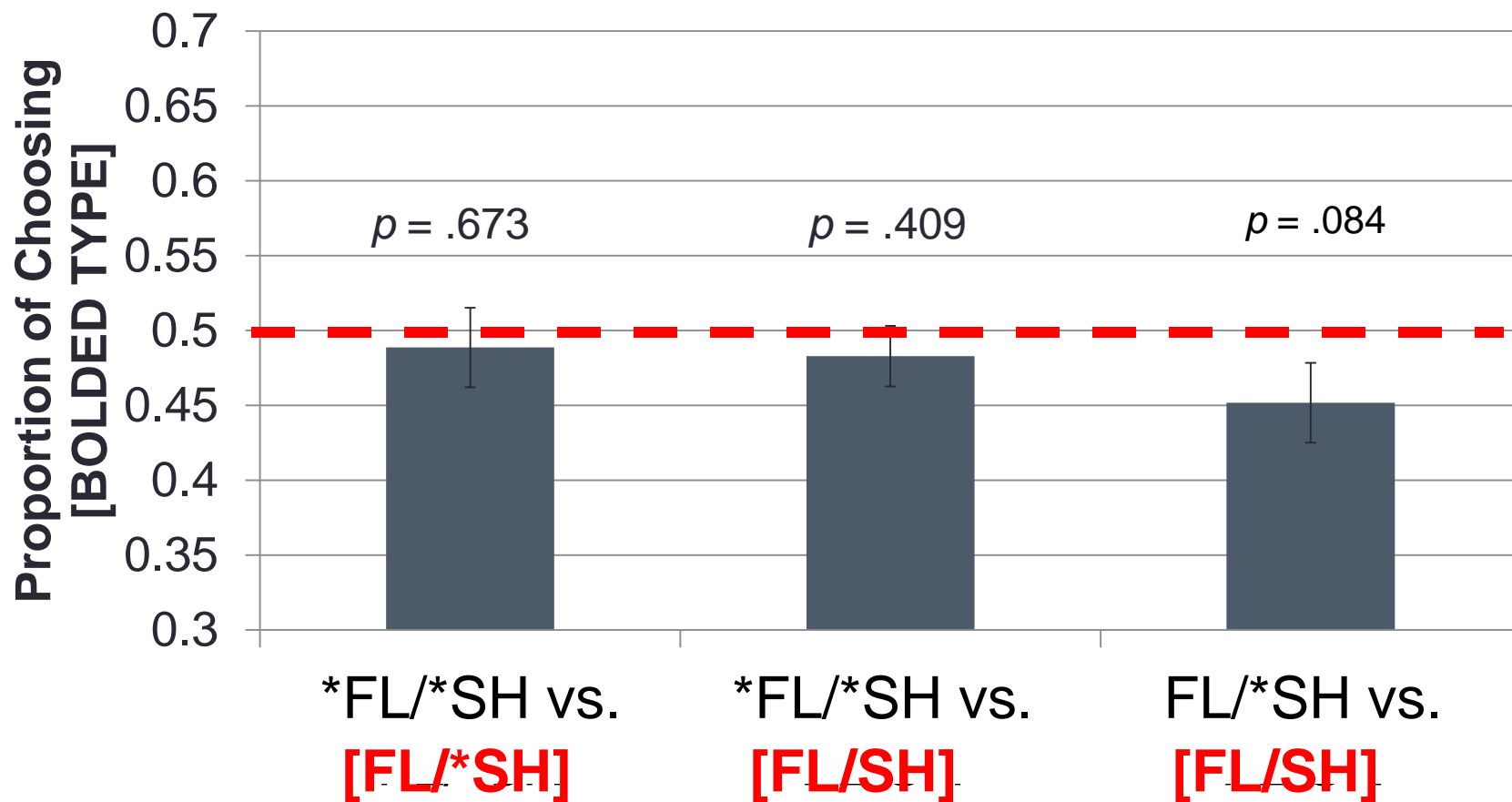


- If subjects learned the grammar that they were exposed to during the training, they should perform as follows:

Training Condition	<b>FL/*SH</b> vs. <b>*FL/*SH</b>	<b>FL/SH</b> vs. <b>*FL/*SH</b>	<b>FL/*SH</b> vs. <b>FL/SH</b>
<b>SH</b>	Chance	Above	Above
<b>FL</b>	Above	Above	Chance
<b>Control</b>	Chance	Chance	Chance

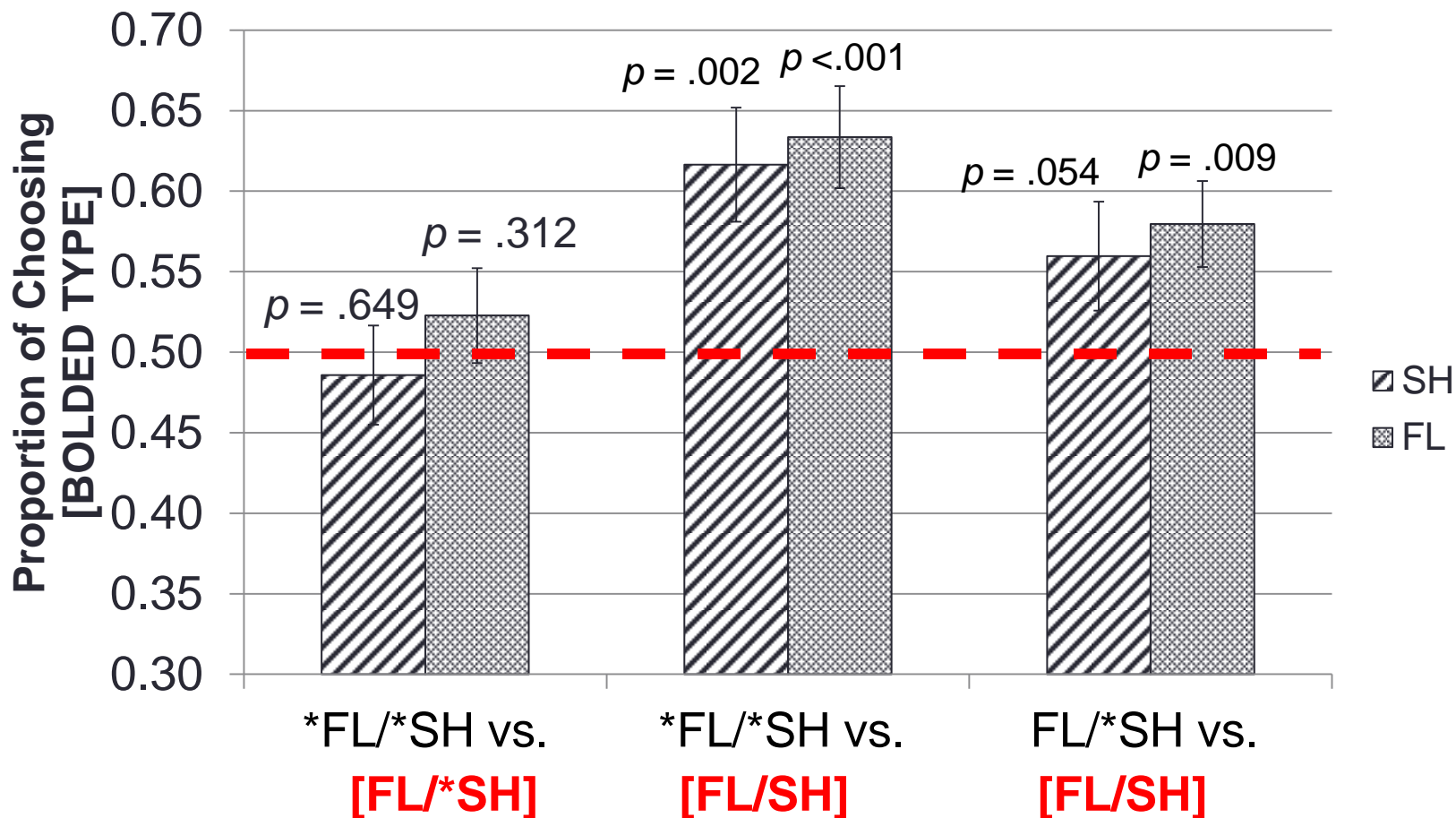
# Results

## No Training Condition (N=22)



# Results

## SH and FL Conditions N=44 (N=22 each condition)



# SH results

Types	If SH is learned	Actual SH subjects' performance
a) <b>FL/*SH</b> vs. *FL/*SH	Chance	Chance
b) *FL/*SH vs. <b>FL/SH</b>	Above	Above
c) FL/*SH vs. <b>FL/SH</b>	Above	Above

# FL results

Types	If FL is learned	Actual FL subjects' performance
a) <b>FL/*SH</b> vs. *FL/*SH	Above	Chance
b) *FL/*SH vs. <b>FL/SH</b>	Above	Above
c) FL/*SH vs. <b>FL/SH</b>	Chance	Above

# Discussion

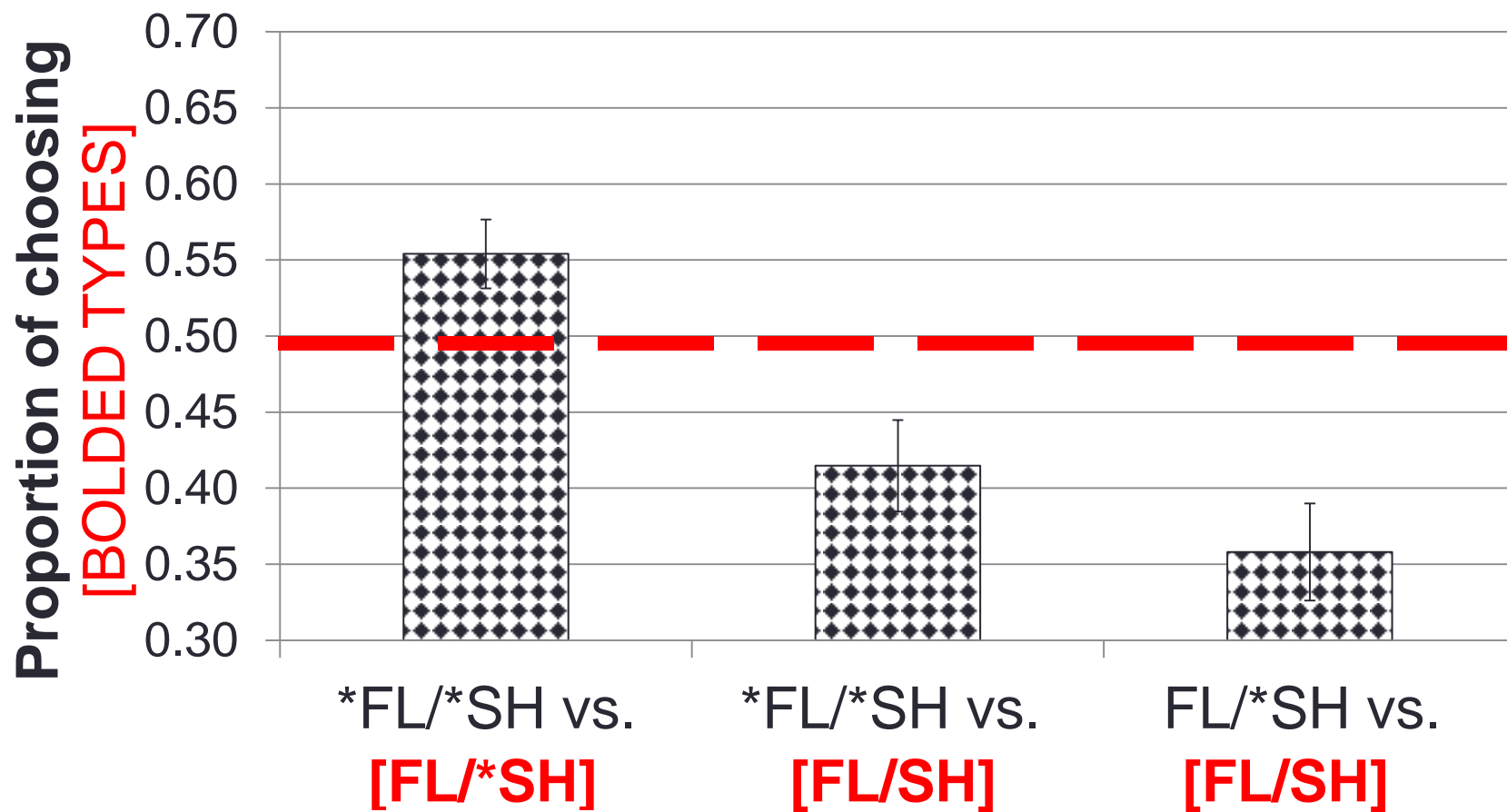
- SH subjects were able to internalize the SH grammar.
- FL subjects were NOT able to internalize FL grammar.
- SH and FL subjects' performance were not significantly different.
- It's puzzling why FL subjects performed so similarly to SH subjects even when they were exposed to stimuli (during training) that did not obey SH (e.g. [s...ʃ...s])

# Follow-up condition

- Intensive FL training
- Replaced training stimuli which are consistent with both FL and SH (FL/SH) with ones which are only consistent with FL (FL/\*SH).
- The results from Intensive FL were significantly different from FL.

# Follow-up results

## Intensive FL (N=22)





# Discussion

Types	If FL is learned	Actual FL subjects' performance	Actual Intensive FL subjects' performance
a) <b>FL/*SH</b> vs. *FL/*SH	Above	Chance	Above
b) *FL/*SH vs. <b>FL/SH</b>	Above	Above	Below
c) FL/*SH vs. <b>FL/SH</b>	Chance	Above	Below

- Based on these results, we cannot conclude FL is learned in either FL or Intensive FL conditions.

# Summary

- The experiments are designed to test the learnability of a regular but not SL or SP pattern (FL).
- If FL is learnable, then it implies the subregular boundaries are not psychologically real.

# Summary

- Results indicate that FL cannot be learned in experimental setting with our design.
- Subjects trained with FL performed like SH subjects.
- Subjects were biased towards internalizing SH than FL grammar, even when they were exposed to stimuli that were inconsistent with SH.

# Conclusions

- A pattern that belongs to SP group (SH) is learnable in experimental setting, while FL, which is a regular pattern that does not belong to either SP or SL is not learnable.
- The absence of FL pattern in natural phonologies could be due to its unlearnability.
- The current psychological experiment results align with the predictions made by computational theory.
- Support the claim that possible phonological patterns are restricted by certain computational boundaries.

# Thank you!

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